

Table 3 Compliance Period Allowance Allocations and Compliance Period Emissions

	Compliance Period Data				Third Compliance Period Data		
	2009-2011	2012-2014	2015-2017	12/31/2017	2015	2016	2017
CO ₂ Allowance Budget	564,230,928	421,368,492	259,576,078		88,725,000	86,506,875	84,344,203
First Control Period Interim Adjustment		8,207,664	24,622,992		8,207,664	8,207,664	8,207,664
Second Control Period Interim Adjustment			41,051,232		13,683,744	13,683,744	13,683,744
CO ₂ Allowance Adjusted Budget		413,160,828	193,901,854		66,833,592	64,615,467	62,452,795
Offered at Auction	507,433,843	386,170,973					
Sold at Auction	397,257,796	324,830,625	178,994,621		61,357,489	59,451,961	58,185,171
Sold Cost Containment Reserve (CCR) Allowances		5,000,000	10,000,000		10,000,000	0	-
Sold at Fixed Price	7,476,456	1,600,000	3,200,000		1,600,000	0	1,600,000
Transferred from State Set-Aside Accounts	22,224,975	12,830,516	4,996,870		1,623,220	1,556,297	1,817,353
Early Reduction Allowances (ERAs) ⁶	2,422,408						
Offered but Unsold at Auction ⁷	7,055,597	58,490,818					
Remaining Set-Aside Allowances ⁸	1,453,183	2,718,273	5,142,264		2,162,783	3,523,340	(543,859)
Set-Aside Allowances Retired ^{4,5}	25,642,471	9,841,066	1,568,098		90,100	83,868	1,394,130
Unsold Allowances Retired ¹	103,118,421	2,849,530					
Sold Allowances Retired ²	2,029						
Awarded CO ₂ Offset Allowances			16,003				
In the market before emissions retirements (rows 8-11)	429,381,635	344,261,141	197,207,494	970,850,270	74,580,709	61,008,258	61,618,527
Emissions	382,205,205	271,391,278	232,107,292	885,703,776	84,889,610	80,827,956	66,389,727
Retired Allowances	382,205,205	271,391,278	232,107,292	885,703,776	37,290,355	30,504,129	
Allowance Bank	47,176,430	72,869,863	(34,899,798)	85,146,494			249,459,303