

Compliance Period Allowance Allocations and Compliance Period Emissions

	Compliance Period Data				Fourth Compliance Period Data		
	2009-2011	2012-2014	2015-2017	12/31/2017	2018	2019	2020
CO ₂ Allowance Budget	564,230,928	421,368,492	259,576,078		82,235,598		
First Control Period Interim Adjustment		8,207,664	24,622,992		8,207,664		
Second Control Period Interim Adjustment			41,051,232		13,683,744		
CO ₂ Allowance Adjusted Budget		413,160,828	193,901,854		60,344,190		
Offered at Auction	507,433,843	386,170,973					
Sold at Auction	397,257,796	324,830,625	178,994,621		54,071,970		
Sold Cost Containment Reserve (CCR) Allowances		5,000,000	10,000,000		-		
Sold at Fixed Price	7,476,456	1,600,000	3,200,000		-		
Transferred from State Set-Aside Accounts	22,224,975	12,830,516	4,996,870		1,506,742		
Early Reduction Allowances (ERAs) ⁶	2,422,408						
Offered but Unsold at Auction ⁷	7,055,597	58,490,818					
Remaining Set-Aside Allowances ⁸	1,453,183	2,718,273	5,142,264		4,489,879		
Set-Aside Allowances Retired ^{4,5}	25,642,471	9,841,066	1,568,098		88,526		
Unsold Allowances Retired ¹	103,118,421	2,849,530					
Sold Allowances Retired ²	2,029		297				
Awarded CO ₂ Offset Allowances			16,003				
In the market before emissions retirements (rows 8-11)	429,381,635	344,261,141	197,207,494	970,850,270	55,578,712		
Emissions	382,205,205	271,391,278	232,107,292	885,703,776	72,274,627		
Retired Allowances	382,207,234	271,391,278	232,107,589	885,706,102	72,274,627		
Allowance Bank	47,174,401	72,869,863	(34,900,095)	85,144,168	(16,695,915)		